

Format of holding of specified securities

1. Name of Listed Entity: **Havells India Limited**
2. Scrip Code/Name of Scrip/Class of Security: **517354-BSE/HAVELLS-NSE/HAVELLS INDIA LIMITED/EQUITY**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C) : **Reg. 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending - **30/09/2023**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment - **N.A.**

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | Yes | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|--------------------------------|----------------------|---|--|---|------------------------|---|--|-------------|-----------|--|--|----------------------------|---------|--|-----------|---|---------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class: EQUITY SHARES OF RE. 1/- EACH | Class eg: y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | (IX) | | | (X) | (XI)= (VII)+(X) As a % of (A+B+C2) | (XII) | (XIII) | (XIV) | | | |
| (A) | Promoter & Promoter Group | 3 | 372457920 | - | - | 372457920 | 59.43 | 372457920 | - | 372457920 | 59.43 | - | - | - | - | 372457920 | | |
| (B) | Public | 224205 | 254216430 | - | - | 254216430 | 40.57 | 254216430 | - | 254216430 | 40.57 | 262024 | 0.10 | NA | 253335672 | | | |
| (C) | Non Promoter - Non Public | - | - | - | - | - | - | - | - | - | - | - | - | NA | - | | | |
| (C1) | Shares underlying DRs | - | - | - | - | - | - | - | - | - | - | - | - | NA | - | | | |
| (C2) | Shares held By Employee Trusts | - | - | - | - | - | - | - | - | - | - | - | - | NA | - | | | |
| | Total | 224208 | 626674350 | - | - | 626674350 | 100.00 | 626674350 | - | 626674350 | 100.00 | 262024 | 0.04 | - | 625793592 | | | |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| n | Category & Name of the Shareholders | No. of shareholder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|-----|--|--------------------|---|-----------------------------------|---|------------------------|--|--|---------|-----------|--|---|-------------------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting Rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class EQUITY SHARES OF RE. 1/- EACH | Class Y | Total | | | | | | | | |
| | (I) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | (IX) | | | (X) | (XI)= (VII)+(X) As a % of (A+B+C2) | (XII) | | (XIII) | (XIV) | | |
| 1 | Indian | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu Undivided Family | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 1 | Shri Anil Rai Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Shri Surjit Kumar Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Shri Ameet Kumar Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Smt Vinod Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Smt Santosh Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Smt Sangeeta Rai Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Smt Shalini Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Mr Abhinav Rai Gupta | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Central Government / State Government(s) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Financial Institutions / Banks | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Any Other (specify) | 3 | 372457920 | - | - | 372457920 | 59.43 | 372457920 | - | 372457920 | 59.43 | - | 59.43 | - | - | - | - | 372457920 |
| | Trust** | 2 | 113857380 | - | - | 113857380 | 18.16 | 113857380 | - | 113857380 | 18.16 | - | 18.16 | - | - | - | - | 113857380 |
| 1 | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | 1 | 77425200 | - | - | 77425200 | 12.35 | 77425200 | - | 77425200 | 12.35 | - | 12.35 | - | - | - | - | 77425200 |
| 2 | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | 1 | 36432180 | - | - | 36432180 | 5.81 | 36432180 | - | 36432180 | 5.81 | - | 5.81 | - | - | - | - | 36432180 |
| | Bodies Corporate | 1 | 258600540 | - | - | 258600540 | 41.27 | 258600540 | - | 258600540 | 41.27 | - | 41.27 | - | - | - | - | 258600540 |
| 1 | QRG Enterprises Limited | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | QRG Investments and Holdings Limited | 1 | 258600540 | - | - | 258600540 | 41.27 | 258600540 | - | 258600540 | 41.27 | - | 41.27 | - | - | - | - | 258600540 |
| | Sub-Total (A)(1) | 3 | 372457920 | - | - | 372457920 | 59.43 | 372457920 | - | 372457920 | 59.43 | - | 59.43 | - | - | - | - | 372457920 |
| 2 | Foreign | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals / Foreign Individuals) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Government | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Institutions | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign Portfolio Investor | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Any Other (Specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Sub Total (A)(2) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | 3 | 372457920 | - | - | 372457920 | 59.43 | 372457920 | - | 372457920 | 59.43 | - | 59.43 | - | - | - | - | 372457920 |

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

| | | | | | | | | | | | | | | | | | | | | |
|-----|---|---------------|------------------|----------|----------|------------------|--------------|------------------|----------|------------------|--------------|----------|--------------|---------------|-------------|----------|----------|------------------|----------|----------|
| (d) | Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust' | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (f) | Investor Education and Protection Fund (IEPF) | 1 | 241690 | - | - | 241690 | 0.04 | 241690 | - | 241690 | 0.04 | - | 0.04 | - | - | - | - | 241690 | - | - |
| (g) | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 214397 | 30085110 | - | - | 30085110 | 4.80 | 30085110 | - | 30085110 | 4.80 | - | 4.80 | 92329 | 0.31 | - | - | 29553392 | - | - |
| (h) | nominal share capital in excess of Rs. 2 lakhs. | 7 | 3542271 | - | - | 3542271 | 0.57 | 3542271 | - | 3542271 | 0.57 | - | 0.57 | 56000 | 1.58 | - | - | 3542271 | - | - |
| (i) | Non Resident Indians (NRIs) | 5500 | 2547411 | - | - | 2547411 | 0.41 | 2547411 | - | 2547411 | 0.41 | - | 0.41 | - | - | - | - | 2206411 | - | - |
| (j) | Foreign Nationals | 1 | 25 | - | - | 25 | 0.00 | 25 | - | 25 | 0.00 | - | 0.00 | - | - | - | - | 25 | - | - |
| (k) | Foreign Companies | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (l) | Bodies Corporate | 1161 | 4343696 | - | - | 4343696 | 0.69 | 4343696 | - | 4343696 | 0.69 | - | 0.69 | - | - | - | - | 4343696 | - | - |
| (m) | Any Other (specify) | 2349 | 1079777 | - | - | 1079777 | 0.17 | 1079777 | - | 1079777 | 0.17 | - | 0.17 | - | - | - | - | 1071777 | - | - |
| | Trusts | 11 | 75218 | - | - | 75218 | 0.01 | 75218 | - | 75218 | 0.01 | - | 0.01 | - | - | - | - | 75218 | - | - |
| | LLP | 93 | 184010 | - | - | 184010 | 0.03 | 184010 | - | 184010 | 0.03 | - | 0.03 | - | - | - | - | 184010 | - | - |
| | Hindu Undivided Family | 2229 | 538638 | - | - | 538638 | 0.09 | 538638 | - | 538638 | 0.09 | - | 0.09 | - | - | - | - | 530638 | - | - |
| | Unclaimed Shares | 1 | 164100 | - | - | 164100 | 0.03 | 164100 | - | 164100 | 0.03 | - | 0.03 | - | - | - | - | 164100 | - | - |
| | Clearing Member | 15 | 117811 | - | - | 117811 | 0.02 | 117811 | - | 117811 | 0.02 | - | 0.02 | - | - | - | - | 117811 | - | - |
| | Sub- Total (B)(4) | 223420 | 43193716 | - | - | 43193716 | 6.89 | 43193716 | - | 43193716 | 6.89 | - | 6.89 | 262024 | 0.61 | - | - | 42312958 | - | - |
| | Total Public Shareholding (B)= (B)(1) + (B)(2) + (B)(3) + (B)(4) | 224205 | 254216430 | - | - | 254216430 | 40.57 | 254216430 | - | 254216430 | 40.57 | - | 40.57 | 262024 | 0.10 | - | - | 253335672 | - | - |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| | |
|---------------------|---------------|
| No. of shareholders | No. of Shares |
| 23 | 164100 |

Unclaimed Shares are held in demat a/c, namely, Havells India Limited Unclaimed Suspense A/c and the voting rights on such shares are frozen.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
 - (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.
 - (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
 - (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format.
- Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.
- (5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:
 - (i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
 - (ii) Shareholders who have entered into shareholder agreement with the listed entity.
 - (iii) Shareholders acting as persons in concert with promoters.

Disclosure of Notes on Shareholding Pattern

In the new categories i.e. 'Directors and their relatives' and 'Relatives of Promoters' as mentioned in sub-clause 4(b) and 4(d) respectively in TABLE – III, as introduced vide SEBI Circular dtd 30 June 2022, details of independent relatives of Directors/ Promoters are not provided as they are not privy to such information.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category & Name of the Shareholders | No. of share holder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|---|---------------------|---|-----------------------------------|---|--------------------------------------|--|--|---------|-------|-------------------------------------|--|---|----------------------------|---------------------------------|--|---------------------------------|---|---|
| | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| | | | | | | | Class EQUITY SHARES OF RE.1/-EACH | Class Y | Total | | | | | | | | | |
| (I) | (III) | (IV) | (V) | (VI) | $\frac{(VII) = (IV)+(V)+(VI)}{(VI)}$ | As a % of (A+B+C2) (VIII) | (IX) | | | | (X) | (XI) | (XII) | | (XIII) | | (XIV) | |
| (1) Custodian/DR Holder | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (2) Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Table V- Statement showing details of Significant Beneficial Owners (SBOs)

| Sr. No | Details of the SBO | | Details of the registered owner | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | | Date of creation / acquisition of significant beneficial interest [#] |
|--------|-------------------------|-------------|---|-------------|---|-----------------|--|---------------------|-----------------------------------|--|
| | (I) | | (II) | | (III) | | | | | (IV) |
| | | | | | Whether by virtue of: | | | | | |
| | Name | Nationality | Name | Nationality | Shares % | Voting rights % | Rights on distributable dividend or any other distribution % | Exercise of Control | Exercise of significant influence | |
| (1) | Shri Anil Rai Gupta | Indian | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | Indian | | | | Yes | Yes | 29-Mar-17 |
| (2) | Smt Vinod Gupta | Indian | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | Indian | | | | Yes | Yes | 29-Mar-17 |
| (3) | Smt Sangeeta Rai Gupta | Indian | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | Indian | | | | Yes | Yes | 29-Mar-17 |
| (4) | Mr Abhinav Rai Gupta | Indian | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | Indian | | | | Yes | Yes | 29-Mar-17 |
| (5) | Ms Aradhana Rai Gupta | Indian | Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust | Indian | | | | Yes | Yes | 29-Mar-17 |
| (6) | Shri Surjit Kumar Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |
| (7) | Shri Ameet Kumar Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |
| (8) | Smt Santosh Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |
| (9) | Smt Shalini Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |
| (10) | Ms Ishita Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |
| (11) | Mr Aditya Gupta | Indian | Shri Surjit Kumar Gupta as Trustee of SKG Family Trust | Indian | | | | Yes | Yes | 18-Dec-18 |

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

[#] This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

Table VI - Statement showing foreign ownership limits

| | Board approved limits (%) | Limits utilized (%) |
|---------------------------------------|----------------------------------|----------------------------|
| As on shareholding date | 40.00 | 24.19 |
| As on the end of previous 1st quarter | 40.00 | 23.79 |
| As on the end of previous 2nd quarter | 40.00 | 23.11 |
| As on the end of previous 3rd quarter | 40.00 | 22.60 |
| As on the end of previous 4th quarter | 40.00 | 23.30 |
| | | |
| Notes:- | | |

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.