

**Format of holding of specified securities**

1. Name of Listed Entity: **Havells India Limited**
2. Scrip Code/Name of Scrip/Class of Security: **517354-BSE/HAVELLS-NSE/HAVELLS INDIA LIMITED/EQUITY**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C) : **Reg. 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ending - **30/09/2022**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment - **N.A.**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
1	Whether the Listed Entity has issued any partly paid up shares?		<b>No</b>
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		<b>No</b>
3	Whether the Listed Entity has any shares against which depository receipts are issued?		<b>No</b>
4	Whether the Listed Entity has any shares in locked-in?	<b>Yes</b>	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		<b>No</b>

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class: EQUITY SHARES OF RE. 1/- EACH	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	372457920	0	0	372457920	59.45	372457920	0	372457920	59.45	0	59.45	0	0	0	0	372457920
(B)	Public	236355	254008136	0	0	254008136	40.54	254008136	0	254008136	40.54	0	40.54	285347	0.11	NA	NA	252883853
(C)	Non Promoter - Non Public	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	NA	41960
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	NA	NA	0
(C2)	Shares held By Employee Trusts	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	NA	41960
	Total	236360	626508016	0	0	626508016	100.00	626508016	0	626508016	100.00	0	100.00	285347	0.05	0	0	625383733

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
							Class EQUITY SHARES OF RE. 1/- EACH	Class Y									Total
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Indian																	
(a) Individuals/Hindu Undivided Family	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1 Shri Anil Rai Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Smt Vinod Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Smt Sangeeta Rai Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4 Mr Abhinav Rai Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5 Shri Surjit Kumar Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6 Shri Ameet Kumar Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7 Smt Santosh Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8 Smt Shalini Gupta	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (specify)	4	372457920	0	0	372457920	59.45	372457920	0	372457920	59.45	0	59.45	0	0	0	0	372457920
<b>Trust</b>	<b>2</b>	<b>113857380</b>	<b>0</b>	<b>0</b>	<b>113857380</b>	<b>18.17</b>	<b>113857380</b>	<b>0</b>	<b>113857380</b>	<b>18.17</b>	<b>0</b>	<b>18.17</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>113857380</b>
1 Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	1	77425200	0	0	77425200	12.36	77425200	0	77425200	12.36	0	12.36	0	0	0	0	77425200
2 Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	1	36432180	0	0	36432180	5.82	36432180	0	36432180	5.82	0	5.82	0	0	0	0	36432180
<b>Bodies Corporate</b>	<b>2</b>	<b>258600540</b>	<b>0</b>	<b>0</b>	<b>258600540</b>	<b>41.28</b>	<b>258600540</b>	<b>0</b>	<b>258600540</b>	<b>41.28</b>	<b>0</b>	<b>41.28</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>258600540</b>
1 QRG Enterprises Limited	1	189858880	0	0	189858880	30.30	189858880	0	189858880	30.30	0	30.30	0	0	0	0	189858880
2 QRG Investments and Holdings Limited	1	68741660	0	0	68741660	10.97	68741660	0	68741660	10.97	0	10.97	0	0	0	0	68741660
Sub-Total (A)(1)	4	372457920	0	0	372457920	59.45	372457920	0	372457920	59.45	0	59.45	0	0	0	0	372457920
Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>4</b>	<b>372457920</b>	<b>0</b>	<b>0</b>	<b>372457920</b>	<b>59.45</b>	<b>372457920</b>	<b>0</b>	<b>372457920</b>	<b>59.45</b>	<b>0</b>	<b>59.45</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>372457920</b>

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.





Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
							No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)	
							Class EQUITY SHARES OF RE.1/-EACH	Class Y	Total								
(I)	(III)	(IV)	(V)	(VI)	$\frac{(VII) = (IV)+(V)+ (VI)}{(VII)}$	As a % of (A+B+C2) (VIII)	(IX)				(X)	(XI)	(XII)		(XIII)	(XIV)	
(1) Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	41960	
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	41960	

**Note**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

**Table V- Statement showing details of Significant Beneficial Owners (SBOs)**

Sr. No	Details of the SBO		Details of the registered owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest <sup>#</sup>
	(I)		(II)		(III)					(IV)
					Whether by virtue of:					
	Name	Nation ality	Name	Nation ality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of Control	Exercise of significant influence	
(1)	Shri Anil Rai Gupta	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	Indian				Yes	Yes	29-Mar-17
(2)	Smt Vinod Gupta	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	Indian				Yes	Yes	29-Mar-17
(3)	Smt Sangeeta Rai Gupta	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	Indian				Yes	Yes	29-Mar-17
(4)	Mr Abhinav Rai Gupta	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	Indian				Yes	Yes	29-Mar-17
(5)	Ms Aradhana Rai Gupta	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	Indian				Yes	Yes	29-Mar-17
(6)	Shri Surjit Kumar Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18
(7)	Shri Ameet Kumar Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18
(8)	Smt Santosh Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18
(9)	Smt Shalini Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18
(10)	Ms Ishita Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18
(11)	Mr Aditya Gupta	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	Indian				Yes	Yes	18-Dec-18

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

<sup>#</sup> This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

**Table VI - Statement showing foreign ownership limits**

	<b>Board approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	40.00	23.30
As on the end of previous 1st quarter	40.00	23.10
As on the end of previous 2nd quarter	40.00	24.44
As on the end of previous 3rd quarter	40.00	26.51
As on the end of previous 4th quarter	40.00	26.76

Notes:-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.