

Format of holding of specified securities

1. Name of Listed Entity: **Havells India Limited**
2. Scrip Code/Name of Scrip/Class of Security: **517354-BSE/HAVELLS-NSE/HAVELLS INDIA LIMITED/EQUITY**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C) : **Reg. 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending - **30/09/2019**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment - **N.A.**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class: EQUITY SHARES OF RE. 1/- EACH	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0.0000	372457920		
(B)	Public	111867	253302954	0	0	253302954	40.48	253302954	0	253302954	40.48	0	40.48	446570	0.18	NA	251771562	
(C)	Non Promoter - Non Public	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0.00	0.00	NA	41960	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0.00	NA	0		
(C2)	Shares Held By Employee Trusts	1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	41960	
	Total	111872	625802834	0	0	625802834	100.00	625802834	0	625802834	100.00	0	100.00	446570	0.07	0	0.0000	624271442

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class EQUITY SHARES OF RE. 1/- EACH	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Indian																		
(a) Individuals/Hindu Undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1 Shri Anil Rai Gupta	AAAPG8092J	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Smt Sangeeta Rai Gupta	AALPG6716G	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Smt Santosh Gupta	AANPG6303M	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4 Shri Ameet Kumar Gupta	AAAPG7156P	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5 Shri Surjit Kumar Gupta	AAAPG3515A	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6 Mr Abhinav Rai Gupta	BSPPG6928P	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7 Smt Shalini Gupta	AEXPG0494H	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8 Smt Vinod Gupta	AALPG4306Q	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (specify)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	0	372457920
Trust		2	113857380	0	0	113857380	18.19	113857380	0	113857380	18.19	0	18.19	0	0	0	0	113857380
1 Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	1	77425200	0	0	77425200	12.37	77425200	0	77425200	12.37	0	12.37	0	0	0	0	77425200
2 Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AAST6569A	1	36432180	0	0	36432180	5.82	36432180	0	36432180	5.82	0	5.82	0	0	0	0	36432180
Bodies Corporate		2	258600540	0	0	258600540	41.32	258600540	0	258600540	41.32	0	41.32	0	0	0	0	258600540
1 QRG Enterprises Limited	AAACH0011R	1	189858880	0	0	189858880	30.34	189858880	0	189858880	30.34	0	30.34	0	0	0	0	189858880
2 QRG Investments and Holdings Limited	AAACA1955D	1	68741660	0	0	68741660	10.98	68741660	0	68741660	10.98	0	10.98	0	0	0	0	68741660
Sub-Total (A)(1)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	0	372457920
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	0	372457920

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class EQUITY SHARES OF RE. 1/- EACH	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(1)	Institutions																		
(a)	Mutual Fund		24	13669059	0	0	13669059	2.18	13669059	0	13669059	2.18	0	2.18	0	0	NA	13669059	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
(c)	Alternate Investment Funds		8	639427	0	0	639427	0.10	639427	0	639427	0.10	0	0.10	0	0	NA	639427	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
(e)	Foreign Portfolio Investor		478	164528774	0	0	164528774	26.29	164528774	0	164528774	26.29	0	26.29	0	0	NA	164528774	
	Nalanda India Equity Fund Limited	AADCN6131F	1	33044930	0	0	33044930	5.28	33044930	0	33044930	5.28	0	5.28	0	0	NA	33044930	
	Government Pension Fund Global	AACCN1454E	1	12312461	0	0	12312461	1.97	12312461	0	12312461	1.97	0	1.97	0	0	NA	12312461	
	Steadview Capital Mauritius Limited	AAQCS1253G	1	10673488	0	0	10673488	1.71	10673488	0	10673488	1.71	0	1.71	0	0	NA	10673488	
	Smallcap World Fund, Inc	AABCS3581L	1	8926000	0	0	8926000	1.43	8926000	0	8926000	1.43	0	1.43	0	0	NA	8926000	
	New World Fund Inc	AABCN3163H	1	6874000	0	0	6874000	1.10	6874000	0	6874000	1.10	0	1.10	0	0	NA	6874000	
(f)	Financial Institutions / Banks		8	19630100	0	0	19630100	3.14	19630100	0	19630100	3.14	0	3.14	0	0	NA	19630100	
	Life Insurance Corporation Of India	AAACL0582H	1	18223996	0	0	18223996	2.91	18223996	0	18223996	2.91	0	2.91	0	0	NA	18223996	
(g)	Insurance Companies		12	2464351	0	0	2464351	0.39	2464351	0	2464351	0.39	0	0.39	0	0	NA	2464351	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub Total (B)(1)		530	200931711	0	0	200931711	32.11	200931711	0	200931711	32.11	0	32.11	0	0	NA	200931711	
(2)	Central Government/ State Government(s)/ President of India																NA		
	Central Government/ State Government(s)		3	812132	0	0	812132	0.13	812132	0	812132	0.13	0	0.13	0	0	NA	812132	
	Sub Total (B)(2)		3	812132	0	0	812132	0.13	812132	0	812132	0.13	0	0.13	0	0	NA	812132	
(3)	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		104917	33527250	0	0	33527250	5.36	33527250	0	33527250	5.36	0	5.36	263570	0.79	NA	32524858	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	5066266	0	0	5066266	0.81	5066266	0	5066266	0.81	0	0.81	183000	3.61	NA	5066266	
(b)	NBFCs registered with RBI		6	46571	0	0	46571	0.01	46571	0	46571	0.01	0	0.01	0	0	NA	46571	
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Any Other (specify)		6399	12919024	0	0	12919024	2.06	12919024	0	12919024	2.06	0	2.06	0	0	NA	12390024	
	IEPF		1	193318	0	0	193318	0.03	193318	0	193318	0.03	0	0.03	0	0	NA	193318	
	Trusts		9	1899735	0	0	1899735	0.30	1899735	0	1899735	0.30	0	0.30	0	0	NA	1899735	
	Hindu Undivided Family		1545	659144	0	0	659144	0.11	659144	0	659144	0.11	0	0.11	0	0	NA	659144	
	Non Resident Indians (Non Repat)		1168	821300	0	0	821300	0.13	821300	0	821300	0.13	0	0.13	0	0	NA	821300	
	Non Resident Indians (Repat)		2408	2100862	0	0	2100862	0.34	2100862	0	2100862	0.34	0	0.34	0	0	NA	1571862	
	Unclaimed Shares		1	212100	0	0	212100	0.03	212100	0	212100	0.03	0	0.03	0	0	NA	212100	
	Clearing Member		175	701479	0	0	701479	0.11	701479	0	701479	0.11	0	0.11	0	0	NA	701479	
	Bodies Corporate		1092	6331086	0	0	6331086	1.01	6331086	0	6331086	1.01	0	1.01	0	0	NA	6331086	
	Sub Total (B)(3)		111334	51559111	0	0	51559111	8.24	51559111	0	51559111	8.24	0	8.24	446570	0.87	NA	50027719	

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		111867	253302954	0	0	253302954	40.48	253302954	0	253302954	40.48	0	40.48	446570	0.18	NA	251771562
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Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
31	212100

Unclaimed Shares are held in demat a/c, namely, Havells India Limited Unclaimed Suspense A/c and the voting rights on such shares are frozen.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)		
									Class EQUITY SHARES OF RE. 1/-	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	$\frac{(VII)}{(IV)+(V)+(VI)}$	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/ DRHolder		0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	NA	41960
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	41960	0	0	41960	0.01	41960	0	41960	0.01	0	0.01	0	0.00	NA	NA	41960

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r. t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Table V- Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest [#]
	(I)			(II)			(III)					(IV)
	Whether by virtue of:											
Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of Control	Exercise of significant influence		
(1)	Shri Anil Rai Gupta	AAAPG8092J	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-Mar-17
(2)	Smt Vinod Gupta	AALPG4306Q	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-Mar-17
(3)	Smt Sangeeta Rai Gupta	AALPG6716G	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-Mar-17
(4)	Mr Abhinav Rai Gupta	BSPPG6928P	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-Mar-17
(5)	Ms Aradhana Rai Gupta	BKVPG6258C	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-Mar-17
(6)	Shri Surjit Kumar Gupta	AAAPG3515A	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18
(7)	Shri Ameet Kumar Gupta	AAAPG7156P	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18
(8)	Smt Santosh Gupta	AANPG6303M	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18
(9)	Smt Shalini Gupta	AEXPG0494H	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18
(10)	Ms Ishita Gupta	BYSPG6507P	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18
(11)	Mr Aditya Gupta	DCKPG4607N	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-Dec-18

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

[#] This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.