

Format of holding of specified securities

1. Name of Listed Entity: **Havells India Limited**
2. Scrip Code/Name of Scrip/Class of Security: **517354-BSE/HAVELLS-NSE/HAVELLS INDIA LIMITED/EQUITY**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C) : **Reg. 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending - **30/06/2019**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment - **N.A.**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class: EQUITY SHARES OF RE. 1/- EACH	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	13	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0.0000	0	372457920	
(B)	Public	103482	252973030	0	0	252973030	40.42	252973030	0	252973030	40.42	0	40.42	324437	0.13	NA	251364738	
(C)	Non Promoter - Non Public	1	371884	0	0	371884	0.06	371884	0	371884	0.06	0	0.06	329924	88.72	NA	371884	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	0	
(C2)	Shares Held By Employee Trusts	1	371884	0	0	371884	0.06	371884	0	371884	0.06	0	0.06	329924	88.72	NA	371884	
	Total	103496	625802834	0	0	625802834	100.00	625802834	0	625802834	100.00	0	100.00	654361	0.10	0	0.0000	624194542

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class EQUITY SHARES OF RE. 1/- EACH	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Indian																		
(a) Individuals/Hindu Undivided Family		8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Shri Anil Rai Gupta	AAAPG8092J	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Smt Sangeeta Rai Gupta	AALPG6716G	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Smt Santosh Gupta	AANPG6303M	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Shri Ameet Kumar Gupta	AAAPG7156P	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Shri Surjit Kumar Gupta	AAAPG3515A	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Mr Abhinav Rai Gupta	BSPPG6928P	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Smt Shalini Gupta	AEXPG0494H	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Smt Vinod Gupta	AALPG4306Q	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b) Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d) Any Other (specify)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	372457920	
Trust		2	113857380	0	0	113857380	18.19	113857380	0	113857380	18.19	0	18.19	0	0	0	113857380	
Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	1	77425200	0	0	77425200	12.37	77425200	0	77425200	12.37	0	12.37	0	0	0	77425200	
Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AAST56569A	1	36432180	0	0	36432180	5.82	36432180	0	36432180	5.82	0	5.82	0	0	0	36432180	
Bodies Corporate		2	258600540	0	0	258600540	41.32	258600540	0	258600540	41.32	0	41.32	0	0	0	258600540	
QRG Enterprises Limited	AAACH0011R	1	189858880	0	0	189858880	30.34	189858880	0	189858880	30.34	0	30.34	0	0	0	189858880	
QRG Investments and Holdings Limited	AAACA1955D	1	68741660	0	0	68741660	10.98	68741660	0	68741660	10.98	0	10.98	0	0	0	68741660	
Sub-Total (A)(1)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	372457920	
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b) Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e) Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		4	372457920	0	0	372457920	59.52	372457920	0	372457920	59.52	0	59.52	0	0	0	372457920	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class EQUITY SHARES OF RE. 1/- EACH	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(1)	Institutions																		
(a)	Mutual Fund		24	15948765	0	0	15948765	2.55	15948765	0	15948765	2.55	0	2.55	0	0	NA	15948765	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
(c)	Alternate Investment Funds		9	579069	0	0	579069	0.09	579069	0	579069	0.09	0	0.09	0	0	NA	579069	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
(e)	Foreign Portfolio Investor		489	168642246	0	0	168642246	26.95	168642246	0	168642246	26.95	0	26.95	0	0	NA	168642246	
	Nalanda India Equity Fund Limited	AADCN6131F	1	33044930	0	0	33044930	5.28	33044930	0	33044930	5.28	0	5.28	0	0	NA	33044930	
	Government Pension Fund Global	AACCN1454E	1	14812461	0	0	14812461	2.37	14812461	0	14812461	2.37	0	2.37	0	0	NA	14812461	
	Steadview Capital Mauritius Limited	AAQCS1253G	1	10673488	0	0	10673488	1.71	10673488	0	10673488	1.71	0	1.71	0	0	NA	10673488	
	Smallcap World Fund, Inc	AABCS3581L	1	8926000	0	0	8926000	1.43	8926000	0	8926000	1.43	0	1.43	0	0	NA	8926000	
	New World Fund Inc	AABCN3163H	1	6874000	0	0	6874000	1.10	6874000	0	6874000	1.10	0	1.10	0	0	NA	6874000	
(f)	Financial Institutions / Banks		7	12525983	0	0	12525983	2.00	12525983	0	12525983	2.00	0	2.00	0	0	NA	12525983	
	Life Insurance Corporation Of India	AAACL0582H	1	11434272	0	0	11434272	1.83	11434272	0	11434272	1.83	0	1.83	0	0	NA	11434272	
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub Total (B)(1)		529	197696063	0	0	197696063	31.59	197696063	0	197696063	31.59	0	31.59	0	0	NA	197696063	
(2)	Central Government/ State Government(s)/ President of India																NA		
	Central Government/ State Government(s)		2	901512	0	0	901512	0.14	901512	0	901512	0.14	0	0.14	0	0	NA	901512	
	Sub Total (B)(2)		2	901512	0	0	901512	0.14	901512	0	901512	0.14	0	0.14	0	0	NA	901512	
(3)	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		96929	32438496	0	0	32438496	5.18	32438496	0	32438496	5.18	0	5.18	224437	0.69	NA	31367204	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		11	4992694	0	0	4992694	0.80	4992694	0	4992694	0.80	0	0.80	100000	2.00	NA	4992694	
(b)	NBFCs registered with RBI		7	32066	0	0	32066	0.01	32066	0	32066	0.01	0	0.01	0	0	NA	32066	
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Any Other (specify)		6004	16912199	0	0	16912199	2.70	16912199	0	16912199	2.70	0	2.70	0	0	NA	16375199	
	IEPF		1	190448	0	0	190448	0.03	190448	0	190448	0.03	0	0.03	0	0	NA	190448	
	Trusts		9	1672390	0	0	1672390	0.27	1672390	0	1672390	0.27	0	0.27	0	0	NA	1672390	
	Hindu Undivided Family		1433	689905	0	0	689905	0.11	689905	0	689905	0.11	0	0.11	0	0	NA	689905	
	Non Resident Indians (Non Repat)		1079	808138	0	0	808138	0.13	808138	0	808138	0.13	0	0.13	0	0	NA	808138	
	Non Resident Indians (Repat)		2202	2145895	0	0	2145895	0.34	2145895	0	2145895	0.34	0	0.34	0	0	NA	1608895	
	Unclaimed Suspense A/c		1	212100	0	0	212100	0.03	212100	0	212100	0.03	0	0.03	0	0	NA	212100	
	Clearing Member		211	772339	0	0	772339	0.12	772339	0	772339	0.12	0	0.12	0	0	NA	772339	
	Bodies Corporate		1068	10420984	0	0	10420984	1.67	10420984	0	10420984	1.67	0	1.67	0	0	NA	10420984	
	Sub Total (B)(3)		102951	54375455	0	0	54375455	8.69	54375455	0	54375455	8.69	0	8.69	324437	0.60	NA	52767163	

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		103482	252973030	0	0	252973030	40.42	252973030	0	252973030	40.42	0	40.42	324437	0.13	NA	251364738
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Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

No. of shareholders	No. of Shares
31	212100

Unclaimed Shares are held in demat a/c, namely, Havells India Limited Unclaimed Suspense A/c and the voting rights on such shares are frozen.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total Shares held (Not applicable)
									Class EQUITY SHARES OF RE. 1/-	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	$\frac{(VII) = (IV)+(V)+(VI)}{}$	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/ DRHolder		0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA		0	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	371884	0	0	371884	0.06	371884	0	371884	0.06	0	0.06	329924	88.72	NA	NA	371884
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	371884	0	0	371884	0.06	371884	0	371884	0.06	0	0.06	329924	88.72	NA	NA	371884

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r. t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

During the quarter, 3,29,924 Equity Shares of Re. 1/- each were allotted on 20 June 2019, to the Havells Employees Welfare Trust, for the purpose of transfer to beneficiary employees, under the ESPS Plans of the Company. The same are reflected in the Non-promoter Non-public category. As of 30 June, 2019, the corporate action and the approvals by the NSE & BSE were still in process. After the end of quarter, the corporate action was concluded and all the shares were listed on both BSE & NSE and have been transferred to the beneficiary employees.

Table V- Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest #
	(I)			(II)			(III)					(IV)
				Whether by virtue of:								
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of Control	Exercise of significant influence	
(1)	Shri Anil Rai Gupta	AAAPG0892J	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-03-2017
(2)	Smt Vinod Gupta	AALPG4306Q	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-03-2017
(3)	Smt Sangeeta Rai Gupta	AALPG6716G	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-03-2017
(4)	Mr Abhinav Rai Gupta	BSPPG6928P	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-03-2017
(5)	Ms Aradhana Rai Gupta	BKVPG6258C	Indian	Shri Anil Rai Gupta as Managing Trustee of ARG Family Trust	AAFTA7704G	Indian				Yes	Yes	29-03-2017
(6)	Shri Surjit Kumar Gupta	AAAPG3515A	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018
(7)	Shri Ameet Kumar Gupta	AAAPG7156P	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018
(8)	Smt Santosh Gupta	AANPG7303M	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018
(9)	Smt Shalini Gupta	AEXPG0494H	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018
(10)	Ms Ishita Gupta	BYSPG6507P	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018
(11)	Mr Aditya Gupta	(XXXXX0000X)	Indian	Shri Surjit Kumar Gupta as Trustee of SKG Family Trust	AASTS6569A	Indian				Yes	Yes	18-12-2018

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

In the SBO section of the Shareholding Pattern, one of the SBOs, namely, Mr Aditya Gupta, a beneficiary of the Promoter Trust and a minor, does not have a PAN. Accordingly, in the given field, a dummy value of PAN (XXXXX0000X) has been fed.